

## Finite state machines and regular languages

- Notations:
  - Regular expressions
  - Finite state transition networks
  - Finite state transition tables
- Finite state machines and regular languages
  - Definitions
  - Some properties
- Finite state transducers

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## Regular expressions

A regular expression (RE) is a description of a set of strings, a language.

- can be used to search for occurrences of these strings
- used in a variety of tools: grep, editors, corpus search tools (cqp), . . .
- Just like any other formalism, REs have no linguistic contents as such. But they can well be used to refer to units of morphological or phonological relevance.

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## Some linguistically informed uses

- Determine the language of the following utterance: French or Polish?

*Czy pasazer jadacy do Warszawy moze jechac przez Londyn?*

⇒ Knowledge of morphologically/phonologically possible sequences of letters can be used for this task.

- Look up the following words in the dictionary:

*laughs, became, unidentifiable, Thatcherization*

⇒ Knowledge of morphological composition needed.

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## Basic regular expressions (1)

Regular expressions consist of

- strings of characters (case sensitive!):  
c, natural language, 100 years!
- disjunction:
  - ordinary disjunction: |  
devoured|ate, famil(y|ies)
  - character classes:  
[Tt]he, bec[oa]me
  - ranges:  
[A-Z] for a capital letters
- negation: ^ as first letter after [  
[^a] any symbol but a  
[^A-Z] not an uppercase letter

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## Basic regular expressions (2)

- counters
  - optionality: ?  
colou?r
  - any number of occurrences: \* (Kleene star)  
[0-9]\* years
  - at least one occurrence: +  
\$ [0-9]+
- wildcard for any character: .  
beg.n for any character in between beg and n

Operator precedence, from highest to lowest:

- parenthesis ()
- counters \* + ?
- character sequences
- disjunction |

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## Regular languages

How can the class of regular languages which is specified by regular expressions be characterized?

Let  $\Sigma$  be the set of all symbols of the language (the alphabet), then:

- $\{\}$  is a regular language
- $\forall a \in \Sigma: \{a\}$  is a regular language
- If  $L_1$  and  $L_2$  are regular languages, so are:
  - the concatenation of  $L_1$  and  $L_2$ :  
 $L_1 \cdot L_2 = \{xy | x \in L_1, y \in L_2\}$
  - the union (or disjunction) of  $L_1$  and  $L_2$ :  
 $L_1 \cup L_2$
  - the Kleene closure of  $L_1$ :  
 $L_1^*$

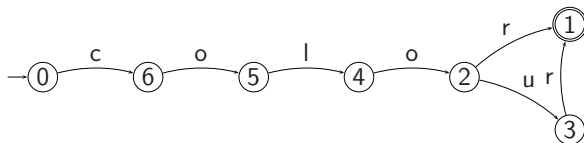
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## Finite state machines

Finite state machines (FSM), also called finite state automata (FSA) can recognize or generate regular languages, such as those specified by regular expressions.

Example:

- Regular expression: colou?r
- Finite state machine:



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## Finite state automaton

A **finite state automaton** is a quintuple  $(Q, \Sigma, E, S, F)$  with

- $Q$  a finite set of states
- $\Sigma$  a finite set of symbols, the alphabet
- $S \subseteq Q$  the set of start states
- $F \subseteq Q$  the set of final states
- $E$  a set of edges  $Q \times (\Sigma \cup \{\epsilon\}) \times Q$

A **transition function**  $d$  can be defined as

$$d(q, a) = \{q' \in Q | \exists (q, a, q') \in E\}$$

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## Language accepted by an FSA

Auxiliary concept: The extended set of edges  $\hat{E} \subseteq Q \times \Sigma^* \times Q$  is the smallest set such that

- $\forall (q, \sigma, q') \in E : (q, \sigma, q') \in \hat{E}$
- $\forall (q_0, \sigma_1, q_1), (q_1, \sigma_2, q_2) \in \hat{E} : (q_0, \sigma_1\sigma_2, q_2) \in \hat{E}$

The **language**  $L(A)$  of a finite state automaton  $A$  is defined as

$$L(A) = \{w | q_s \in S, q_f \in F, (q_s, w, q_f) \in \hat{E}\}$$

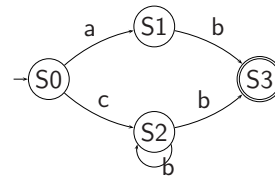
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## Finite state transition networks

Finite state transition networks are graphical descriptions of finite state machines:

- nodes represent the states
  - start states are marked with a short arrow
  - final states are indicated by a double circle
- arcs represent the transitions

Simple example:



Regular expression specifying the language generated or accepted by the corresponding FSM:  $ab|cb^+$

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## Finite state transition tables

Finite state transition tables are an alternative, textual way of describing finite state machines:

- the rows represent the states
  - start states are marked with a dot after their name
  - final states with a colon
- the columns represent the alphabet
- the fields in the table encode the transitions

Our simple example:

	a	b	c	d
S0.	S1		S2	
S1		S3:		
S2		S2, S3:		
S3:				

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## Properties of regular languages

Let  $L_1$  and  $L_2$  be regular languages.

The regular languages are closed under

- concatenation:  $L_1 \cdot L_2$   
set of strings with beginning in  $L_1$  and continuation in  $L_2$
- Kleene closure:  $L_1^*$   
set of repeated concatenation of a string in  $L_1$
- union:  $L_1 \cup L_2$   
set of strings in  $L_1$  or in  $L_2$
- complementation:  $\Sigma^* - L_1$   
set of all possible strings that are not in  $L_1$
- difference:  $L_1 - L_2$   
set of strings which are in  $L_1$  but not in  $L_2$
- intersection:  $L_1 \cap L_2$   
set of strings in both  $L_1$  and  $L_2$
- reversal:  $L_1^R$   
set of the reversal of all strings in  $L_1$

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## Further properties

- Recognition problem can be solved in linear time
- There is an algorithm to transform each automaton into a unique equivalent automaton with the least number of states.

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## Deterministic Finite State Automata

A finite state automaton is deterministic iff it has

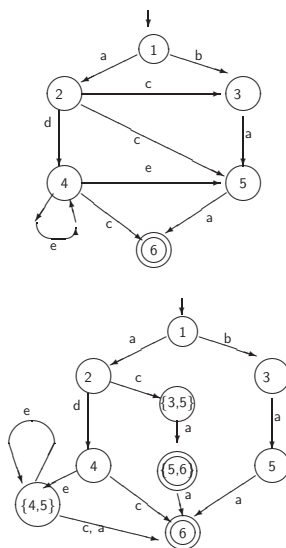
- no  $\epsilon$  transitions and
- for each state and each symbol there is at most one applicable transition.

Every non-deterministic automaton can be transformed into a deterministic one:

- Define new states representing a disjunction of old states for each non-determinacy which arises.
- Define arcs for these states corresponding to each transition which is defined in the non-deterministic automaton for one of the disjuncts in the new state names.

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## Example: Determinization of FSA



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## From Automata to Transducers

Needed: mechanism to keep track of path taken

A **finite state transducer** is a 6-tuple  $(Q, \Sigma_1, \Sigma_2, E, S, F)$  with

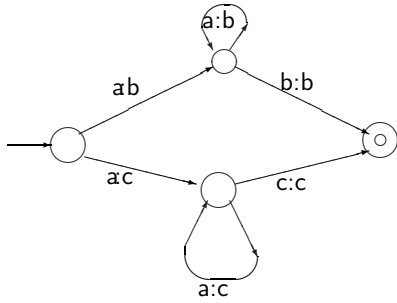
- $Q$  a finite set of states
- $\Sigma_1$  a finite set of symbols, the input alphabet
- $\Sigma_2$  a finite set of symbols, the output alphabet
- $S \subseteq Q$  the set of start states
- $F \subseteq Q$  the set of final states
- $E$  a set of edges  $Q \times (\Sigma_1 \cup \{\epsilon\}) \times Q \times (\Sigma_2 \cup \{\epsilon\})$

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## Transducers and determinization

A finite state transducer understood as consuming an input and producing an output cannot generally be determinized.

Example:



## Reading assignment

- Chapter 1 "Finite State Techniques" of course notes
- Chapter 2 "Regular expressions and automata" of Jurafsky and Martin (2000)