

## A DCG for English using gap threading for unbounded dependencies

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## Towards a basic DCG for English: X-bar Theory

Generalizing over possible phrase structure rules, one can attempt to specify DCG rules fitting the following general pattern:

$X^2 \rightarrow \text{specifier}^2 X^1$   
 $X^1 \rightarrow X^1 \text{ modifier}^2$   
 $X^1 \rightarrow \text{modifier}^2 X^1$   
 $X^1 \rightarrow X^0 \text{ complement}^{2*}$

To turn this general X-bar pattern into actual DCG rules,

- X has to be replaced by one of the atoms encoding syntactic categories, and
- the bar-level needs to be encoded as an argument of each predicate encoding a syntactic category.

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## Noun, preposition, and adjective phrases

```
n(2,Num) --> pronoun(Num).
n(2,Num) --> proper_noun(Num).
n(2,Num) --> det(Num), n(1,Num).
n(2,plur) --> n(1,plur).
n(1,Num) --> pre_mod, n(1,Num).
n(1,Num) --> n(1,Num), post_mod.
n(1,Num) --> n(0,Num).
...

p(2,Pform) --> p(1,Pform).
p(1,Pform) --> adv, p(1,Pform). % slowly past the window
p(1,Pform) --> p(0,Pform), n(2,_).
...

a(2) --> deg, a(1). % very simple
a(1) --> adv, a(1). % commonly used
a(1) --> a(0).
```

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## Verb phrases and sentences

```
v(2,Vform,Num) --> v(1,Vform,Num).
v(1,Vform,Num) --> adv, v(1,Vform,Num).
v(1,Vform,Num) --> v(1,Vform,Num), verb_postmods.
v(1,Vform,Num) --> v(0,intrans,Vform,Num).
v(1,Vform,Num) --> v(0,trans,Vform,Num), n(2).
v(1,Vform,Num) --> v(0,ditrans,Vform,Num), n(2), n(2).
...

s(Vform) --> n(2,Num), v(2,Vform,Num).
```

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## From local to non-local dependencies

- A head generally realizes its arguments locally within its head domain, i.e., within a local tree if the X-bar schema is assumed.
- Certain kind of constructions resist this generalization, such as, for example, the wh-questions discussed below.
- How can the non-local relation between a head and such arguments be licensed? How can the properties be captured?

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## A first example: *Wh*-elements

*Wh*-elements can have different functions:

- (1) a. Who did Hobbs see \_? Object of verb
- b. Who do you think \_ saw the man? Subject of verb
- c. Who did Hobbs give the book to \_? Object of prep
- d. Who did Hobbs consider \_ to be a fool? Object of obj-control verb

*Wh*-elements can also occur in subordinate clauses:

- (2) a. I asked who the man saw \_.
- b. I asked who the man considered \_ to be a fool.
- c. I asked who Hobbs gave the book to \_.
- d. I asked who you thought \_ saw Hobbs.

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Different categories can be extracted:

- |  |      |
|--|------|
| (3) a. Which man did you talk to _?        | NP   |
| b. [To [which man]] did you talk _?        | PP   |
| c. [How ill] has the man been _?           | AdjP |
| d. [How frequently] did you see the man _? | AdvP |

This sometimes provides multiple options for a constituent:

- (4) a. Who does he rely [on \_]?
- b. [On whom] does he rely \_?

Unboundedness:

- (5) a. Who do you think Hobbs saw \_?
- b. Who do you think Hobbs said he saw \_?
- c. Who do you think Hobbs said he imagined that he saw \_?

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## Unbounded dependency constructions

An unbounded dependency construction

- involves constituents with different functions
- involves constituents of different categories
- is in principle unbounded

Two kind of unbounded dependency constructions (UDCs)

- Strong UDCs
- Weak UDCs (*easy*, purpose infinitives, ...) → not addressed here

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## Strong UDCs

An overt constituent occurs in a non-argument position:

Topicalization:

- (6) Kim<sub>i</sub>, Sandy loves <sub>-i</sub>.

*Wh*-questions:

- (7) I wonder [who<sub>i</sub> Sandy loves <sub>-i</sub>].

*Wh*-relative clauses:

- (8) This is the politician [who<sub>i</sub> Sandy loves <sub>-i</sub>].

*It*-clefts:

- (9) It is Kim<sub>i</sub> [who<sub>i</sub> Sandy loves <sub>-i</sub>].

Pseudoclefts:

- (10) [What<sub>i</sub> Sandy loves <sub>-i</sub>] is Kim<sub>i</sub>.

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### Link from filler to gap needed to identify category

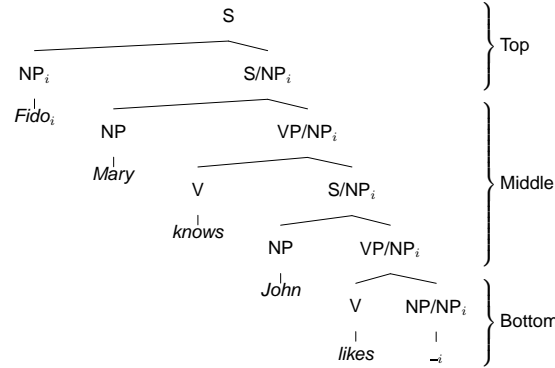
- (11) a. Kim<sub>i</sub>, Sandy trusts <sub>-i</sub>.  
 b. [On Kim]<sub>i</sub>, Sandy depends <sub>-i</sub>.  
 (12) a. \* [On Kim]<sub>i</sub>, Sandy trusts <sub>-i</sub>.  
 b. \* Kim<sub>i</sub>, Sandy depends <sub>-i</sub>.

And this link has to be established for an unbounded length:

- (13) a. Kim<sub>i</sub>, Chris knows Sandy trusts <sub>-i</sub>.  
 b. [On Kim]<sub>i</sub>, Chris knows Sandy depends <sub>-i</sub>.  
 (14) a. \* [On Kim]<sub>i</sub>, Chris knows Sandy trusts <sub>-i</sub>.  
 b. \* Kim<sub>i</sub>, Chris knows Sandy depends <sub>-i</sub>.  
 (15) a. Kim<sub>i</sub>, Dana believes Chris knows Sandy trusts <sub>-i</sub>.  
 b. [On Kim]<sub>i</sub>, Dana believes Chris knows Sandy depends <sub>-i</sub>.  
 (16) a. \* [On Kim]<sub>i</sub>, Dana believes Chris knows Sandy trusts <sub>-i</sub>.  
 b. \* Kim<sub>i</sub>, Dana believes Chris knows Sandy depends <sub>-i</sub>.

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### An example for a strong UDC



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### A small DCG (dcg/udc/dcg\_basis.pl)

```
np --> [mary]
      ; [john]
      ; [fido].

s --> np,
      vp.

p --> [to].

pp --> p,
       np.

vt --> [loves].

vd --> [gives].

vs --> [knows].

s --> np,
      vp.

vp --> vt,
       np.

vp --> vd,
       np,
       pp.

vp --> vs,
       s.
```

Towards a Prolog encoding of strong UDCs

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### A mini grammar with gaps (dcg/udc/dcg\_gaps1.pl)

```
% 1) Top of UDC: realizing filler
s(nogap) --> np(nogap), s(gap).

% 2) Middle of UDC: passing info
s(GapInfo) --> np(nogap), vp(GapInfo). % no subject gaps
vp(GapInfo) --> vt,
               np(GapInfo).

% 3) Bottom of UDC
np(gap) --> [].

% "Lexicon"
np(nogap) --> [mary];[john];[fido].

vt --> [loves].
```

Towards a Prolog encoding of strong UDCs

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### Towards different kinds of gaps (dcg/udc/dcg\_gaps2.pl)

```
% 1) Top of UDC: realizing filler
s(nogap) --> np(nogap), s(gap).

s(nogap) --> pp(nogap), s(gap).

% 2) Middle of UDC: passing info
s(GapInfo) --> np(nogap), vp(GapInfo). % no subject gaps

vp(GapInfo) --> vt, np(GapInfo).
vp(GapInfo) --> vd, np(GapInfo), pp(nogap).
vp(GapInfo) --> vd, np(nogap), pp(GapInfo).

pp(GapInfo) --> p, np(GapInfo).
```

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```
% 3) Bottom of UDC
np(gap) --> [].
pp(gap) --> [].

% "Lexicon"
np(nogap) --> [mary];[john];[fido].
p --> [to].
vt --> [loves].
vd --> [gives].
```

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### Different kinds of gaps (dcg/udc/dcg\_gaps3.pl)

```
% 1) Top of UDC: realizing filler
s(nogap) --> np(nogap), s(gap(np)).

s(nogap) --> pp(nogap), s(gap(pp)).

% 2) Middle of UDC: passing info
s(GapInfo) --> np(nogap), vp(GapInfo). % no subject gaps

vp(GapInfo) --> vt, np(GapInfo).
vp(GapInfo) --> vd, np(GapInfo), pp(nogap).
vp(GapInfo) --> vd, np(nogap), pp(GapInfo).

pp(GapInfo) --> p, np(GapInfo).
```

Towards a Prolog encoding of strong UDCs

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```
% 3) Bottom of UDC
np(gap(np)) --> [].
pp(gap(pp)) --> [].
```

```
% "Lexicon"
np(nogap) --> [mary];[john];[fido].
p --> [to].
vt --> [loves].
vd --> [gives].
```

Towards a Prolog encoding of strong UDCs

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### From hardcoded gap percolation to gap threading

Two problems of current encoding:

- Two rules are needed to license ditransitive VPs.
- In sentences without topicalization, two identical analyses arise for ditransitive VPs.

Idea:

- Use difference-list encoding to thread occurrence of gaps through the tree ("gap threading").

Towards a Prolog encoding of strong UDCs

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## An encoding using gap threading (dcg/udc/dcg\_gaps4.pl)

```
% 1) Top of UDC: realizing filler
s([],[]) --> np([],[]), s([gap(np)],[]).
s([],[]) --> pp([],[]), s([gap(pp)],[]).

% 2) Middle of UDC: passing info
s(G0,G) --> np([],[]), vp(G0,G).

vp(G0,G) --> vt, np(G0,G).
vp(G0,G) --> vd, np(G0,G1), pp(G1,G).
pp(G0,G) --> p, np(G0,G).
```

```
% 3) Bottom of UDC
np([gap(np)],[]) --> [].
pp([gap(pp)],[]) --> [].

% "Lexicon"
np(X,X) --> [mary];[john];[fido].
p --> [to].
vt --> [loves].      vd --> [gives].
```

## Reading assignment

Read the following chapters from the lecture notes:

- Chapter 4: *DCGs as a Grammar Formalism*
- Chapter 5: *Unbounded Dependencies in DCGs*