

Native Language Identification Using Recurring N-grams

Investigating Abstraction and Domain Dependence

Serhiy Bykh Detmar Meurers
Universität Tübingen
Germany

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NLI Using
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Serhiy Bykh, Detmar Meurers

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Native Language Identification (NLI)

- ▶ NLI determines the *native language (L1)* of an author based on a text written in a *second language (L2)*
- ▶ Theoretical relevance:
 - ▶ advance understanding of L1 Transfer in Second Language Acquisition
- ▶ Practical relevance:
 - ▶ author profiling, e.g., for systems identifying the native language of writers of phishing emails (Estival et al. 2007)

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- ▶ CL research generally approaches NLI by training machine learning classifiers with L1s as classes, and
- ▶ **surface-based features** (Koppel et al. 2005; Tsur & Rappoport 2007; Estival et al. 2007; Wong & Dras 2009; Brooke & Hirst 2011, 2012)
 - ▶ uni-/bi-/tri-grams of characters, words and part-of-speech
 - ▶ function words
 - ▶ ...
- ▶ **syntactic features** (Wong & Dras 2009, 2011; Swanson & Charniak 2012)
 - ▶ subject-verb or noun-number disagreement
 - ▶ parse-tree based features
 - ▶ ...

Our Approach

Overview

- ▶ Machine learning approach systematically exploring
 - ▶ all recurring n-grams of any length as features
 - ▶ linguistic abstraction to different word classes
- ▶ Data-driven approach using up to 160 000 features
- ▶ Investigate domain dependence of approach by comparing
 - I. Single-corpus evaluation: ICLE
 - ▶ *International Corpus of Learner English* (Granger et al. 2009)
 - ▶ 16 different L1
 - ▶ mainly argumentative essays
 - II. Cross-corpus evaluation: ICLE vs. NOCE+USE+HKUST
 - ▶ independently compiled learner corpora for three L1
 - ▶ argumentative essays

Our Approach

Three independently collected learner corpora

- ▶ *NOCE: Non-Native Corpus of English* (Díaz Negrillo 2007, 2009)
 - ▶ L1 Spanish
- ▶ *USE: Uppsala Student English Corpus* (Axelsson 2000, 2003)
 - ▶ L1 Swedish
- ▶ *HKUST: Hong Kong University of Science and Technology English Examination Corpus* (Milton & Chowdhury 1994)
 - ▶ L1 Chinese

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Our Approach: Features

Systematic use of all recurring n-grams as features

- ▶ Recurring n-grams of all occurring lengths
 - ▶ *recurring*:
 - ▶ all n-grams occurring in at least 2 texts of training set
 - ▶ idea: include all potentially useful information
 - ▶ *of all occurring length*:
 - ▶ up to the max. length in the training set
 - ▶ idea: long n-grams may capture additional cues, e.g., transliterations of idioms (Milton & Chowdhury 1994)
- + are efficiently computable using dynamic programming
 - cf. Variation n-gram approach to corpus annotation error detection (Dickinson & Meurers 2003, 2005)
- ▶ Use all individual lengths n and intervals $[1, n]$:
 - n : uni-grams, bi-grams, tri-grams, etc.
 - $[1, n]$: uni-grams, uni- & bi-grams, uni- & bi- & tri-grams, etc.

Our Approach: Features

Systematic exploration of abstraction

- ▶ Recurring n-grams with three levels of abstraction:
 - i. *Word-based n-grams* (word n-grams):
 - ▶ strings of words, i.e., the surface forms
 - ii. *Open-Class-POS-based n-grams* (OCPOS n-grams):
 - ▶ nouns, verbs, adjectives and cardinal numbers are represented by their part-of-speech (POS) tags
 - iii. *POS-based n-grams* (POS n-grams):
 - ▶ all words are represented by their POS tags

Our Approach: Features

Example for $\max_n(d) = 5$

		size of n →				
abstraction ↓		1	2	3	4	5
	Word	all	men	are	equal	but
	OCPOS	all	NNS	VBP	JJ	but
	POS	DT	NNS	VBP	JJ	CC

Word-based n-grams:

$n = 1$: *all, men, are, equal, but*

$n = 2$: *all men, men are,
are equal, equal but*

...

$n = 5$: *all men are equal but*

POS-based n-grams:

$n = 1$: *DT, NNS, VBP, JJ, CC*

$n = 2$: *DT NNS, NNS VBP,
VBP JJ, JJ CC*

...

$n = 5$: *DT NNS VBP JJ CC*

OCPOS-based n-grams:

$n = 1$: *all, NNS, VBP, JJ, but*

$n = 2$: *all NNS, NNS VBP,
VBP JJ, JJ but*

...

$n = 5$: *all NNS VBP JJ but*

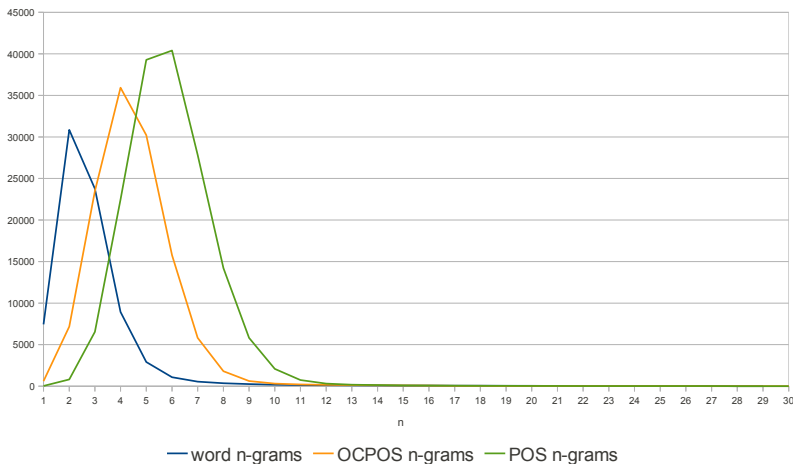
Our Approach: Tools

- ▶ POS Tagging:
 - ▶ *OpenNLP* POS-tagger (<http://opennlp.apache.org>)
 - ▶ *PennTreebank* tagset (Santorini 1990)
- ▶ Machine Learning:
 - ▶ *SVM* (*LIBLINEAR*, Fan et al. 2008)
 - ▶ Feature representation: Binary vectors
 - ▶ {1, 0} encoding the presence of a feature in a given text

First Study: Explore recurring n-grams

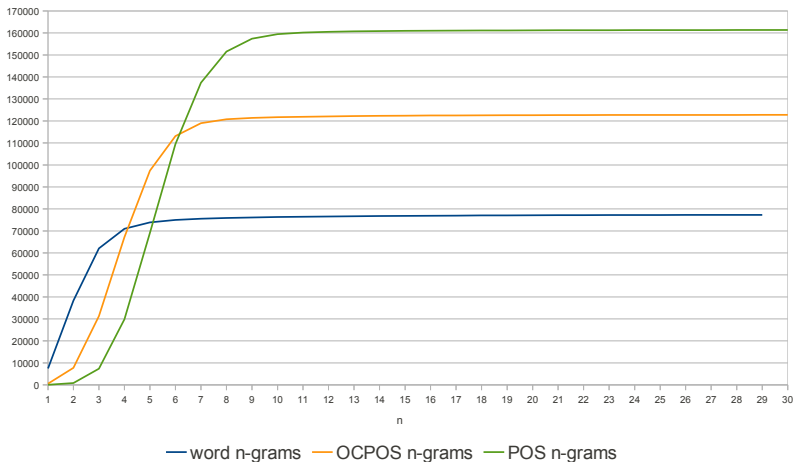
- ▶ **Setup:** just as in Wong & Dras (2009, 2011)
 - ▶ **Corpus:** ICLE, v2
 - ▶ **Seven L1:** Bulgarian, Czech, French, Russian, Spanish, Chinese and Japanese
 - ▶ **Data split:**
 - ▶ Training: 7 L1 · 70 essays = 490 essays
 - ▶ Testing: 7 L1 · 25 essays = 175 essays
 - ▶ Essay Length: 500–1000 words
- ▶ **Evaluation:**
 - a) one training and test set, as in Wong & Dras (2009, 2011)
 - b) ten randomly selected training and test sets to observe variance

Study 1a: Number of features (single n)



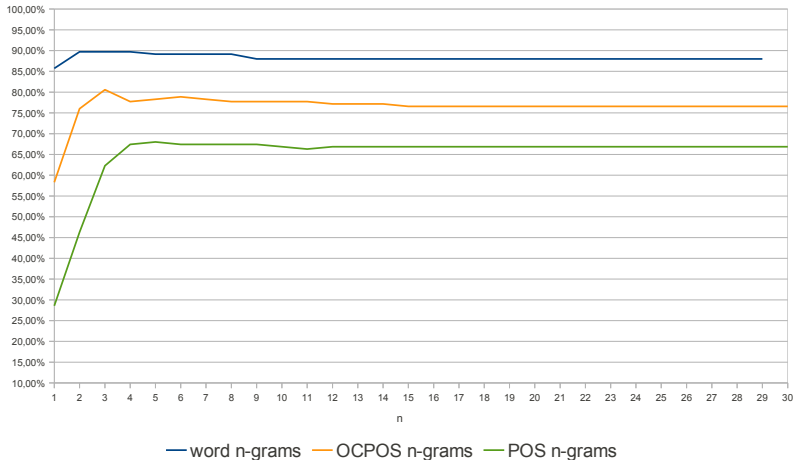
- ▶ N-grams with $n \leq 10$ potentially informative, $n > 10$ too sparse
- ▶ More abstraction leads to more recurring n-grams
 - ▶ e.g. “all **NNS** are” arises from “all **men** are”, “all **people** are”

Study 1a: Number of features ($[1, n]$ intervals)



- ▶ around 160 000 features for POS $[1-10]$ -grams

Results of Study 1a: Accuracy ($[1, n]$ intervals)



- ▶ N-grams with $n \leq 5$ useful (for POS n-grams)
- ▶ The more abstraction the lower the accuracy

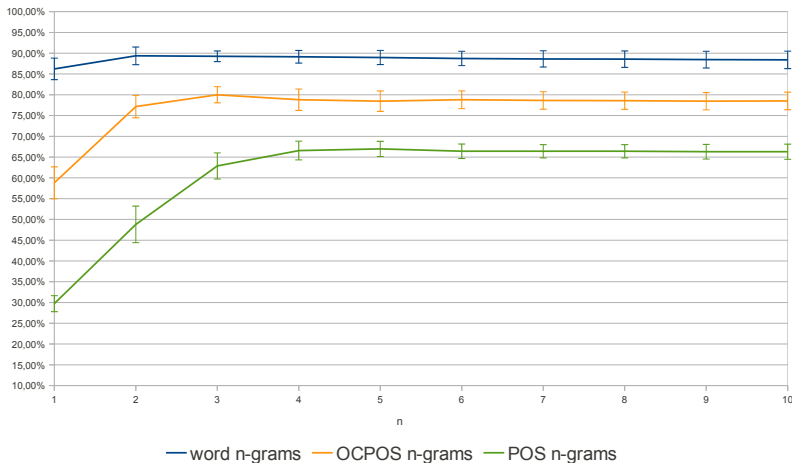
Results of Study 1a: Best Results

- ▶ Random baseline, given seven L1 classes: 14.29%
- ▶ Best result: **89.71%** (using word-based n-grams [1, 2])
- ▶ 8% improvement over previous best result on comparable setup (81.71% of Wong & Dras 2011)

Features	<i>n</i> Intervals			Single <i>n</i>		
	[1, <i>n</i>]	Accuracy	Feature #	<i>n</i>	Accuracy	Feature #
word n-grams	2	89.71%	38,300	1	85.71%	7,446
OCPOS n-gr.	3	80.57%	31,263	2	74.86%	7,176
POS n-grams	5	68.00%	69,139	4	65.14%	22,462

- ▶ interval results always better than single *n*

Study 1b (ten samples): Mean Accuracy & SSD



- ▶ Best *mean* accuracy: **89.37%** (word-based n-grams [1, 2])
≈ best single sample accuracy **89.71%**
- ▶ Means close to the results on the single sample

Study 2: Domain Dependence

Motivation

- ▶ Very good results for ICLE
- ▶ But did we learn something about Native Language Identification – or only about ICLE?
- ▶ Brooke & Hirst (2011): ICLE-trained classifier performs poorly for web-data based Lang-8 corpus
 - ▶ Lang-8: corpus consisting of short personal narratives, requests for translation of particular phrases, etc.
- ▶ Is the drop caused by specific properties of Lang-8 or does it indicate that patterns learned on ICLE do not generalize?

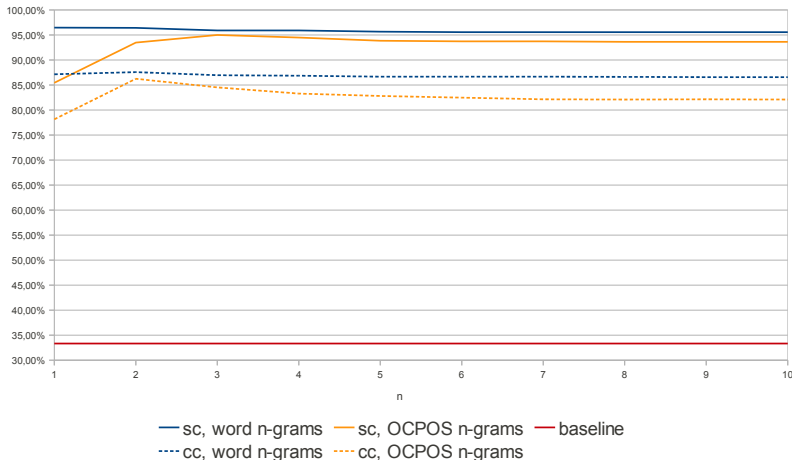


Study 2: Domain Dependence

Setup

- ▶ Explore domain dependence with independently collected corpora of argumentative essays on different topics
- ▶ **Corpora:** ICLE vs. USE+NOCE+HKUST
 - ▶ L1: Spanish, Swedish and Chinese
- ▶ **Data split & Evaluation:**
 - ▶ Training on ICLE:
 - ▶ trained ten models on randomly selected essays per L1
 - ▶ for each model: $3 \text{ L1} \cdot 140 \text{ essays} = 420 \text{ essays}$
 - ▶ Testing (always on data not included in training):
 - Single-Corpus (SC)* from ICLE:
 $3 \text{ L1} \cdot 70 \text{ essays} = 210 \text{ essays}$
 - Cross-Corpus (CC)* on NOCE+USE+HKUST:
 $3 \text{ L1} \cdot 70 \text{ essays} = 210 \text{ essays}$
(NOCE: 140 essays, pairwise merged to standardize length)

Results for Study 2: Mean accuracy (ten models)



- ▶ Best mean accuracy: **96.48%** Single Corpus (ICLE)
87.57% Cross Corpus (ICLE vs. NOCE+USE+HKUST)
- ▶ Variance for ten models (SSD): **0.64%** Single Corpus
1.32% Cross Corpus

Study 2: Domain Dependence

Conclusion on Cross-corpus Evaluation

- ▶ The ICLE trained classifier in our approach successfully performs NLI on three independently collected corpora.
 - ▶ Cross-corpus drop of **about 9%** when training on ICLE and testing on NOCE+USE+HKUST (baseline: 33.3%)
 - ▶ Brooke & Hirst (2011)
 - ▶ Cross-corpus drop of **over 65%** when training on ICLE and testing on Lang-8 (baseline: 14.2%)
 - ▶ Some potential causes for the differences:
 - ▶ specific characteristics of Lang-8
 - ▶ possibly related to genre differences
 - ▶ argumentative essays vs. collated web-data
- ⇒ Within same genre, surface-based n-gram models seem to provide good cross-corpus performance for NLI.

Summary

- ▶ **Recurring n-grams:**
 - ▶ Best result: **89.71%** in a task with seven L1 on ICLE
 - ▶ N-gram lengths up to 5 were useful
 - ▶ N-grams of all lengths together better than single lengths
- ▶ **Abstraction:** word-based n-grams outperformed part-of-speech based n-grams on single-corpus & cross-corpus evaluation
 - ▶ Apparently people with different L1 backgrounds make lexical choices indicative across a range of topics.
 - ▶ e.g., *might, consider, be able to, make use of*
- ▶ **Domain Dependence:**
 - ▶ N-gram patterns learned on ICLE generalized well to three independently collected corpora of the same genre.

- ▶ Systematically explore more types of linguistic abstractions as features, especially
 - ▶ their usefulness across genres, and
 - ▶ the insights they provide for understanding L1 Transfer in Second Language Acquisition.
- ▶ Explore target languages other than English
 - ▶ to ensure generalizability of results
 - ▶ to enhance study of morphological or word order transfer

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Thank you for your attention!

Questions?



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